

Parametricity and semi-cubical types

Hugo Moeneclaey
Université de Paris,
Inria Paris, CNRS, IRIF,
France

LICS 2021

Summary

Introduction to type theory

Introduction to parametricity

Constructing parametric models

A semantical point of view [Dybjær 95]

Definition

A model of type theory consists of:

- ▶ A collection of **contexts**.
- ▶ For any context Γ , a collection of **types** over Γ .
- ▶ For any type A over Γ , a collection of **terms** in A .

with a lot of structure (substitutions, Π , Σ , \top and \mathcal{U}).

A semantical point of view [Dybjer 95]

Definition

A model of type theory consists of:

- ▶ A collection of **contexts**.
- ▶ For any context Γ , a collection of **types** over Γ .
- ▶ For any type A over Γ , a collection of **terms** in A .

with a lot of structure (substitutions, Π , Σ , \top and \mathcal{U}).

Such models can be considered as mathematical universes.

Elementary models:

- ▶ The **set** model is the usual mathematical universe.
- ▶ The **initial** model has syntactic objects as terms.

Elementary models:

- ▶ The **set** model is the usual mathematical universe.
- ▶ The **initial** model has syntactic objects as terms.

And many more:

- ▶ **Sheaf** models.
- ▶ **Realizability** models.
- ▶ **Homotopic** models (e.g. Kan cubical sets).
- ▶ ...

Elementary models:

- ▶ The **set** model is the usual mathematical universe.
- ▶ The **initial** model has syntactic objects as terms.

And many more:

- ▶ **Sheaf** models.
- ▶ **Realizability** models.
- ▶ **Homotopic** models (e.g. Kan cubical sets).
- ▶ ...

Slogan

The abundance of models makes the strength of type theory.

Using this in practice

Proof assistants like **Coq** and **Agda** implement an initial model.

Using this in practice

Proof assistants like **Coq** and **Agda** implement an initial model.

So there is a rich interaction between **models** and **proof assistants**:

Using this in practice

Proof assistants like **Coq** and **Agda** implement an initial model.

So there is a rich interaction between **models** and **proof assistants**:

A **formal proof** \Rightarrow One theorem **per model**.

Using this in practice

Proof assistants like **Coq** and **Agda** implement an initial model.

So there is a rich interaction between **models** and **proof assistants**:

A **formal proof** \Rightarrow One theorem **per model**.

A **model** \Rightarrow An extension of the **proof assistant**.

Summary

Introduction to type theory

Introduction to parametricity

Constructing parametric models

Parametricity for the initial model [Bernardy et al. 2010]

We can define operations $-_*$ in the initial model:

$$\begin{array}{lcl} \Gamma \vdash & \text{gives} & \Gamma_0, \Gamma_1 \vdash \Gamma_* \\ \Gamma \vdash A & \text{gives} & \Gamma_0, \Gamma_1, \Gamma_*, A_0, A_1 \vdash A_* \\ \Gamma \vdash a : A & \text{gives} & \Gamma_0, \Gamma_1, \Gamma_* \vdash a_* : A_*(a_0, a_1) \end{array}$$

by induction using equations (E) summarized next slide.

Parametricity for the initial model [Bernardy et al. 2010]

We can define operations $-_*$ in the initial model:

$$\begin{array}{lcl} \Gamma \vdash & \text{gives} & \Gamma_0, \Gamma_1 \vdash \Gamma_* \\ \Gamma \vdash A & \text{gives} & \Gamma_0, \Gamma_1, \Gamma_*, A_0, A_1 \vdash A_* \\ \Gamma \vdash a : A & \text{gives} & \Gamma_0, \Gamma_1, \Gamma_* \vdash a_* : A_*(a_0, a_1) \end{array}$$

by induction using equations (E) summarized next slide.

Application: Theorems for free! [Wadler 89]

For t a term, t_* gives information on its behavior.

Parametricity for the initial model [Bernardy et al. 2010]

We can define operations $-_*$ in the initial model:

$$\begin{array}{lcl} \Gamma \vdash & \text{gives} & \Gamma_0, \Gamma_1 \vdash \Gamma_* \\ \Gamma \vdash A & \text{gives} & \Gamma_0, \Gamma_1, \Gamma_*, A_0, A_1 \vdash A_* \\ \Gamma \vdash a : A & \text{gives} & \Gamma_0, \Gamma_1, \Gamma_* \vdash a_* : A_*(a_0, a_1) \end{array}$$

by induction using equations (E) summarized next slide.

Application: Theorems for free! [Wadler 89]

For t a term, t_* gives information on its behavior.

Definition

An extension of type theory by unary operations defined inductively in the initial model is called an interpretation.

Equations (E) summarized

$$(A \times B)_*((x_0, y_0), (x_1, y_1)) = A_*(x_0, x_1) \times B_*(y_0, y_1)$$

Equations (E) summarized

$$(A \times B)_*((x_0, y_0), (x_1, y_1)) = A_*(x_0, x_1) \times B_*(y_0, y_1)$$

$$(A \rightarrow B)_*(f_0, f_1) = \Pi (x_0, x_1 : A). A_*(x_0, x_1) \rightarrow B_*(f_0(x_0), f_1(x_1))$$

Equations (E) summarized

$$(A \times B)_*((x_0, y_0), (x_1, y_1)) = A_*(x_0, x_1) \times B_*(y_0, y_1)$$

$$(A \rightarrow B)_*(f_0, f_1) = \Pi (x_0, x_1 : A). A_*(x_0, x_1) \rightarrow B_*(f_0(x_0), f_1(x_1))$$

$$U_*(A_0, A_1) = A_0 \rightarrow A_1 \rightarrow U$$

Parametricity for any model of type theory

Definition

A **parametricity** for a model of type theory consists of operations \rightarrow_* obeying equations (E).

A parametricity means terms treat type inputs uniformly.

Parametricity for any model of type theory

Definition

A **parametricity** for a model of type theory consists of operations \rightarrow^* obeying equations (E).

A parametricity means terms treat type inputs uniformly.

Examples

The initial model is parametric.

The set model is not (assuming LEM).

Parametricity for any model of type theory

Definition

A **parametricity** for a model of type theory consists of operations \rightarrow^* obeying equations (E).

A parametricity means terms treat type inputs uniformly.

Examples

The initial model is parametric.

The set model is not (assuming LEM).

Goal

We want to build **models with parametricity** from arbitrary ones.

Summary

Introduction to type theory

Introduction to parametricity

Constructing parametric models

Parametricity and cubes

When defining (internal) parametricity, cubical structures arise:

- ▶ [Bernardy, Coquand, Moulin 2015]
- ▶ [Cavallo, Harper 2018]

Parametricity and cubes

When defining **(internal) parametricity**, **cubical structures** arise:

- ▶ [Bernardy, Coquand, Moulin 2015]
- ▶ [Cavallo, Harper 2018]

Claim

There is a general procedure:

$$\{\textit{Interpretations of type theory}\} \rightarrow \{\textit{Structures on types}\}$$

sending **(external) parametricity** to **semi-cubical structures**.

A semi-cubical set
consists of:

A set of points

For any two points
a set of paths between them

For any square S
a set of surfaces with border S

...

A semi-cubical set
consists of:

A set of points

For any two points
a set of paths between them

For any square S
a set of surfaces with border S

...

Starting from a context and
applying parametricity we get:

$\Gamma \vdash$

$\Gamma_0, \Gamma_1 \vdash \Gamma_*$

$\Gamma_{00}, \Gamma_{01}, \Gamma_{0*}, \Gamma_{10}, \Gamma_{11}, \Gamma_{1*}, \Gamma_{*0}, \Gamma_{*1}$
 $\vdash \Gamma_{**}$

...

A semi-cubical set consists of:	Starting from a context and applying parametricity we get:
A set of points	$\Gamma \vdash$
For any two points a set of paths between them	$\Gamma_0, \Gamma_1 \vdash \Gamma_*$
For any square S a set of surfaces with border S	$\Gamma_{00}, \Gamma_{01}, \Gamma_{0*}, \Gamma_{10}, \Gamma_{11}, \Gamma_{1*}, \Gamma_{*0}, \Gamma_{*1} \vdash \Gamma_{**}$
...	...

So we guess semi-cubes model parametricity.

Main result

Theorem

The functor forgetting parametricity:

$$U : \{\textit{Models with parametricity}\} \rightarrow \{\textit{Models of type theory}\}$$

has a right adjoint:

$$\textit{Cube} : \{\textit{Models of type theory}\} \rightarrow \{\textit{Models with parametricity}\}$$

Main result

Theorem

The functor forgetting parametricity:

$$U : \{\text{Models with parametricity}\} \rightarrow \{\text{Models of type theory}\}$$

has a right adjoint:

$$\text{Cube} : \{\text{Models of type theory}\} \rightarrow \{\text{Models with parametricity}\}$$

Indeed $\text{Cube}(\mathcal{C})$ is the model of semi-cubes in \mathcal{C} .

Sketch of proof

Let T be a **finitary** essentially algebraic theory, I_T its initial algebra.

Lemma

Let O a set of **unary** operations **inductively defined on I_T** by equations E . Then the forgetful functor:

$$U : \text{Alg}_{T,O,E} \rightarrow \text{Alg}_T$$

has a right adjoint.

Sketch of proof

Let T be a **finitary** essentially algebraic theory, I_T its initial algebra.

Lemma

Let O a set of **unary** operations **inductively defined on I_T** by equations E . Then the forgetful functor:

$$U : \text{Alg}_{T,O,E} \rightarrow \text{Alg}_T$$

has a right adjoint.

We use colimits in Alg_T defined as QIITs. Then U commutes with:

- ▶ Initial objects almost **by hypothesis**.
- ▶ Pushouts because **O is unary**.
- ▶ Filtered colimits as **T , O and E are finitary**.

So U has a right adjoint.

Other examples of right adjoints

The hypothesis of the previous lemma are often satisfied.

Other examples of right adjoints

The hypothesis of the previous lemma are often satisfied.

Example

The forgetful functor from groups to monoids has a right adjoint:

$$\text{Cube} : M \mapsto M^{\times}$$

Other examples of right adjoints

The hypothesis of the previous lemma are often satisfied.

Example

The forgetful functor from **groups** to **monoids** has a right adjoint:

$$\text{Cube} : M \mapsto M^{\times}$$

Example

The forgetful functor from $\{X : \text{Set} \mid f : X \rightarrow X\}$ to **sets** has a right adjoint:

$$\text{Cube} : X \mapsto (\mathbb{N} \rightarrow X \text{ with } (u_n) \mapsto (u_{n+1}))$$

Other examples of right adjoints

The hypothesis of the previous lemma are often satisfied.

Example

The forgetful functor from **groups** to **monoids** has a right adjoint:

$$\text{Cube} : M \mapsto M^\times$$

Example

The forgetful functor from $\{X : \text{Set} \mid f : X \rightarrow X\}$ to **sets** has a right adjoint:

$$\text{Cube} : X \mapsto (\mathbb{N} \rightarrow X \text{ with } (u_n) \mapsto (u_{n+1}))$$

Many other right adjoints can be constructed the same way.

Semi-cubes

Let \mathcal{C} be a model of type theory.

Let I_X be the parametric model freely generated by X a context.

Semi-cubes

Let \mathcal{C} be a model of type theory.

Let I_X be the parametric model freely generated by X a context.

Adjunction equation

$$\text{Ctx}_{\text{Cube}(\mathcal{C})} = \text{Hom}_{\text{param}}(I_X, \text{Cube}(\mathcal{C})) = \text{Hom}(U(I_X), \mathcal{C})$$

Semi-cubes

Let \mathcal{C} be a model of type theory.

Let I_X be the parametric model freely generated by X a context.

Adjunction equation

$$\text{Ctx}_{\text{Cube}(\mathcal{C})} = \text{Hom}_{\text{param}}(I_X, \text{Cube}(\mathcal{C})) = \text{Hom}(U(I_X), \mathcal{C})$$

But $U(I_X)$ is freely generated by:

$$\begin{array}{l} X \vdash \\ X_0, X_1 \vdash X_* \\ X_{00}, X_{01}, X_{0*}, \\ X_{10}, X_{11}, X_{1*}, \vdash X_{**} \\ X_{*0}, X_{*1} \\ \vdots \end{array}$$

Conclusion and further work

Summary:

- ▶ We build semi-cubical models from parametricity.
- ▶ The method works for any interpretation.

Conclusion and further work

Summary:

- ▶ We build semi-cubical models from parametricity.
- ▶ The method works for any interpretation.

Further work:

- ▶ Applications to other interpretations for type theory.

Conclusion and further work

Summary:

- ▶ We build semi-cubical models from parametricity.
- ▶ The method works for any interpretation.

Further work:

- ▶ Applications to other interpretations for type theory.

For specialists, I intend to:

- ▶ Find an interpretation giving Kan cubical types, starting in low dimension (i.e. with setoids).
- ▶ Build definitionally univalent models from univalent ones using [Tabareau, Tanter, Sozeau 2017].